



THE UNITED STATES PATENT AND TRADEMARK OFFICE

POWER OF ATTORNEY

Docket No.
13220.008001; P5843

Name of Applicant: SUN MICROSYSTEMS, INC.
Address of Applicant: 901 San Antonio Road, MS-PALOI-521
Palo Alto, California 94303

Title: CHANGE SEQUENCE NUMBER GENERATOR

Serial No., if Any: 09/993,939

Filed: November 6, 2001

TO THE ASSISTANT COMMISSIONER FOR PATENTS

The Assistant Commissioner for Patents
Washington, D.C. 20231

Honorable Sir:

I hereby appoint:

Jonathan P. Osha, Reg. No. 33,986; Alan D. Rosenthal, Reg. No. 27,833; Richard A. Fagin, Reg. No. 39,182; Thomas K. Scherer, Reg. No. 45,079; Scott W. Hejny, Reg. No. 45,882; Y. Renee Alsandor, Reg. No. 45,883; Jeffrey S. Bergman, Reg. No. 45,925; Robert P. Lord, Reg. No. 46,479; T. Chyau Liang, Reg. No. 48,885; William E. Hickman, Reg. No. 46,771; Wasif H. Qureshi, Reg. No. P51,048 of ROSENTHAL & OSHA L.L.P.; and

Kenneth Olsen, Reg. No. 26,493; Timothy J. Crean, Reg. No. 37,116; Alexander E. Silverman, Reg. No. 37,940; Anirma Rakshpal Gupta, Reg. No. 38,275; Sean P. Lewis, Reg. No. 42,798; Michael J. Schallop, Reg. No. 44,319; Bernice B. Chen, Reg. No. 42,403; Noreen A. Krall, Reg. No. 39,734; Monica D. Ward, Reg. No. 40,696; Marc D. Foodman, Reg. No. 34,110; Elaine K. Lee, Reg. No. 41,936; Hugh H. Matsubayashi, Reg. No. 43,779; Paul D. Sorkin, Reg. No. 39,039; Marilyn E. Glaubenskle, Reg. No. 35,521; Andrew C. Chen, Reg. No. 43,544; Jeffrey L. Myers, Reg. No. 44,252, and Pavel Pogodin, Reg. No. P48,205 of SUN MICROSYSTEMS, INC.

as principal attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Please direct all future correspondence to:

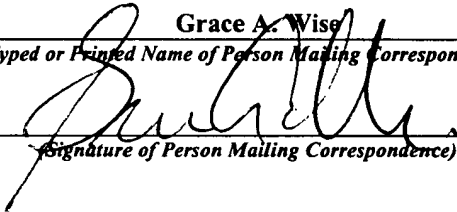
Jonathan P. Osha
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By:

Kenneth Olsen, Reg. No. 26,493
Vice President Intellectual Property
Sun Microsystems, Inc.
901 San Antonio Road, M/S PAL01-521
Palo Alto, Ca 94303

Dated: December 20, 2001

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)		Docket No. 13220.008001; P5843	
Applicant(s): Gordon GOOD, et al.			
Serial No. 09/993,939	Filing Date November 6, 2001	Examiner	Group Art Unit 2171
Invention: CHANGE SEQUENCE NUMBER GENERATOR			
COPY OF PAPERS ORIGINALLY FILED			
<p>I hereby certify that this <u>Response to Notice to File Missing Parts of Application</u> (Identify type of correspondence)</p> <p>is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on <u>02/15/2002</u> (Date)</p> <p style="text-align: center;"><u>Grace A. Wise</u> (Typed or Printed Name of Person Mailing Correspondence)</p> <p style="text-align: center;"><u></u> (Signature of Person Mailing Correspondence)</p> <p style="text-align: center;">Note: Each paper must have its own certificate of mailing.</p>			

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
13220.008001; P5843

In Re Application Of: **Gordon GOOD, et al.**

FEB 27 2002

Serial No.

09/993,939

Filing Date

November 6, 2001

Examiner

Group Art Unit

2171

Invention:

CHANGE SEQUENCE NUMBER GENERATOR

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

December 7, 2001

Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

Power of Attorney

03/05/2002 SMINASS1 00000108 09993939

03 FC:115

110.00 0P

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Late Filing)

Docket No.
13220.008001; P5843

In Re Application Of: **Gordon GOOD, et al.**

FEB 27 2002

Serial No.
09/993,939

Filing Date
November 6, 2001

Examiner

Group Art Unit
2171

Invention:

CHANGE SEQUENCE NUMBER GENERATOR

COPY OF PAPERS
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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

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☒ Completion of application fees as calculated below:

☒ Utility application filing fee \$740.00

☐ Design application filing fee

☒ Total number of independent claims = 3

☒ Total number of claims = 18

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$130.00

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees \$870.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☒ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: February 7, 2002 until: March 7, 2002
Date *Date*

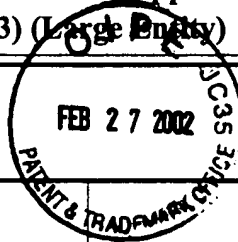
Total time extension fees \$110.00

Total fees due \$980.00

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
13220.008001; P5843

In Re Application Of: Gordon GOOD, et al.



Serial No.
09/993,939

Filing Date
November 6, 2001

Examiner

Group Art Unit
2171

Invention:

CHANGE SEQUENCE NUMBER GENERATOR

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

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The fee of **\$980.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-0591
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-0591
A duplicate copy of this sheet is enclosed.


Signature

Dated: February 15, 2002

Jonathan P. Osha, Reg. No.: 33,986
Rosenthal & Osha L.L.P.
1221 McKinney, Suite 2800
Houston, Texas 77010
Telephone No.: (713) 228-8600
Facsimile No.: (713) 228-8778

I certify that this document and fee is being deposited
on _____ with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC:



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/993,939	11/06/2001	Gordon Good	13220.008001; P5843

CONFIRMATION NO. 5488

FORMALITIES LETTER



OC000000007167223

Rosenthal & Osha L.L.P.
700 Louisiana, Suite 4550
Houston, TX 77002

Date Mailed: 12/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

COPY OF PAPERS
ORIGINALLY FILED

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/05/2002 SHINASS1 00000108 09993939

01 FC:101
02 FC:105

740.00 OP
130.00 OP